

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re:

BERNARD L. MADOFF INVESTMENT  
SECURITIES LLC,

Debtor.

Adv. Pro. No. 08-01789 (SMB)

SIPA LIQUIDATION

(Substantively Consolidated)

IRVING H. PICARD, Trustee for the Liquidation  
of Bernard L. Madoff Investment Securities LLC,

Plaintiff,

v.

ALVIN J. DELARE, JR., *et al.*,

Defendants.

Adv. Pro. No. 09-1305 (SMB)

### **SIXTH AMENDED CASE MANAGEMENT PLAN**

Due to continuing confidential settlement discussions and a stay of discovery with certain defendants, as well as the voluminous nature of discovery at issue in this case, the Plaintiff and Defendants hereby amend this Case Management Plan for the sole purpose of extending the Discovery Cut-off Date contained in Section 3(h) to March 7, 2019.<sup>1</sup>

Plaintiff and Defendants (collectively, the “Parties”) hereby submit the following Proposed Case Management Plan, pursuant to Fed. R. Civ. P. 16 and 26, as incorporated into Bank. R. 7016 and 7026:

1. **Status of Pleadings.**

- a. The following defendants answered the complaint: Morton Kurzrok, Gloria Kurzrok, Jonathan Greenberg, Linda McCurdy, Rosalie Buccellato, The Janet Jaffin Revocable Trust, Edward H Kohlschreiber, Edward H Kohlschreiber Sr. Rev. Mgt. Trust and Elizabeth M. Moody.
- b. The following defendants have moved to withdraw the reference: Cohmad Securities Corporation, Maurice J. Cohn, Marcia B. Cohn and Robert Jaffe. The Trustee’s opposition to these motions was to be filed and served on or before **September 18, 2009**, and reply papers, if any were to be filed and served on **October 9, 2009**. Oral argument had not been scheduled. These defendants also have filed a motion to dismiss the complaint and have agreed to the briefing schedule in Paragraph (c) below. The hearing on that motion was stayed pending resolution of the motion to withdraw the reference.

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<sup>1</sup> Section 8 (Parties and Counsel) was also updated to reflect the most current information.

- c. The following defendants have moved to dismiss Counts One, Three Four, Five, Six, Seven, Eight, Ten, and Eleven: Milton S. Cohn and Marilyn Cohn. The Trustee's opposition to these motions was to be filed and served on or before **October 8, 2009**, and reply papers, if any were to be filed and served on **November 2, 2009**. These motions were to be heard on **November 17, 2009**.
- d. The following defendants have moved to dismiss the complaint in its entirety for failure to state a claim: Richard Spring, The Spring Family Trust, Jeanne T. Spring Trust, Jane Delaire, Cyril Jalon, Alvin Delaire, Jr., Carole Delaire and The Estate of Elena Jalon, Stanley Berman, Joyce Berman, and S & J Partnership. The Trustee's opposition to these motions was to be filed and served on or before **October 8, 2009**, and reply papers, if any were to be filed and served on **November 2, 2009**. These motions were to be heard on **November 17, 2009**.
- e. The following defendants have moved to dismiss the complaint for lack of personal jurisdiction: The Joint Tenancy of Phyllis Guenzburger and Fabian Guenzburger, The Joint Tenancy of Robert Pinchou and Fabian Guenzburger. The Trustee's opposition to these motions was to be filed and served on or before **September 17, 2009**, and reply papers, if any were to be filed and served on **October 7, 2009**. These motions were to be heard on **October 22, 2009**.

2. Amendment of Pleadings and Joinder of Parties.

The Trustee suggested that in response to certain motions above, it would amend its Complaint, and was to serve the same by **October 8, 2009**. Any other amendment of the pleadings shall be made pursuant to Bankruptcy Rule 7015.

3. Discovery Plan.

- a. Initial Disclosures. The parties do not propose any changes in the form, or requirements for disclosures under Rule 26(a). The parties agreed that they were to serve initial disclosures within **fifteen (15) days** after they had answered the amended complaint or by **December 5, 2009**, whichever was later. The Trustee was to serve initial disclosures at the same time.
- b. Subjects on Which Discovery May Be Needed. The parties contemplate that discovery will be needed on all liability and damages issues, and that fact and expert discovery will be needed.
- c. Document Demands. Requests for documents may be served as required, until **sixty (60) days** prior to the Discovery Cut-Off date in paragraph **3(h)** below.
- d. Interrogatories. Interrogatories pursuant to Local Rule 7033-1(a) for identification purposes, if any, were to be served by **April 1, 2016**. Contention based interrogatories pursuant to Local Rule 7033-1(b), if any, may not be served later than **forty-five (45)** days prior to the Discovery Cut-Off date in paragraph **3(h)** below.

- e. Requests to Admit. Requests to Admit, if any, must be served by **thirty (30) days** subsequent to the Discovery Cut-Off date in paragraph 3(h) below.
- f. Experts. Every party-proponent of a claim (including any counterclaim, cross-claim, or third-party claim) that intends to offer expert testimony in respect of such claim must make the disclosures required by Fed. R. Civ. P. 26(a)(2) by **forty five (45) days** subsequent to the Discovery Cut-Off date in paragraph 3(h) below. Every party-opponent of such claim that intends to offer expert testimony in opposition to such claim must make the disclosures required by Fed. R. Civ. P. 26(a)(2) within **seventy-five (75) days** subsequent to the Discovery Cut-Off date in paragraph 3(h) below. No expert testimony (whether designated as “rebuttal” or otherwise) will be permitted by other experts or beyond the scope of the opinions covered by the aforesaid disclosures except upon prior express permission of the Court, application for which must be made no later than 10 days after the date specified in the immediately preceding sentence. All experts may be deposed, but such depositions must occur within **one hundred five (105) days** subsequent to the Discovery Cut-Off date in paragraph 3(h) below. The foregoing restriction shall not include amendments to expert reports in light of new evidence as required by Fed. R. Civ. P. 26.
- g. Depositions. All depositions (excluding any expert depositions) must be completed by the Discovery Cut-Off date in paragraph 3(h) below. Depositions shall proceed concurrently, with no party having priority, and

no deposition shall extend beyond one business day unless all parties and the deponent agree to a longer period of time or upon prior leave of the Court.

h. Discovery Cut-Off. All discovery is to be completed **by** March 7, 2019.

Interim deadlines above may be extended by the parties on consent without application to the Court, provided the parties are certain they can still meet the discovery completion date set forth in this paragraph, which shall not be adjourned except upon a showing to the Court of good cause. Discovery demands may be served on any party only after issue is joined as to that party.

i. Limitations On Discovery Imposed Under The Federal and/or Local

Rules. Consistent with the Federal Rules of Civil Procedure and this Court's Local Rules, the parties agree to the following limits on discovery:

(i) Interrogatories: **twenty-five (25) per side**; (ii) Requests for Production of Documents: **unlimited**; (iii) Requests for Admissions: **unlimited**; (iv) Depositions: **unlimited**.

4. Proposed Modifications Of Standard Pretrial Proceedings Due To The Special

Nature Of The Action. The parties do not presently believe that any such modifications are appropriate or necessary, and will contact the Court if their belief in this regard changes.

5. Prospects For Settlement, Including Whether A Settlement Conference Should Be Scheduled And Whether The Parties Will Stipulate To The Trial Judge Acting

As Settlement Judge. The parties have engaged in some discussions, but do not believe that a global effort will be productive at this time.

6. Any Other Matter That May Add To The Just And Expeditious Disposition Of This Matter. The parties do not presently raise any other matters that may add to the just and expeditious disposition of this matter.
7. Trial. The parties estimate that trial will require 5-7 court days.
8. Parties and Counsel. The following parties join in this Case Management Plan, through their counsel, if applicable:

**Baker & Hostetler LLP**  
45 Rockefeller Plaza  
New York, NY 10111  
Telephone: (212) 589-4200  
Facsimile: (212) 589-4201  
David J. Sheehan  
Email: dsheehan@bakerlaw.com  
Kathryn M. Zunno  
Email: kzunno@bakerlaw.com  
Esterina Giuliani  
Email: egiuliani@bakerlaw.com

*Attorneys for Irving H. Picard, Trustee for the Substantively Consolidated SIPA  
Liquidation of Bernard L. Madoff Investment Securities LLC and the estate of  
Bernard L. Madoff*

**Andrew D. Beresin**

Attorney at Law  
P.O. Box 820  
South Salem, NY 10590  
Telephone: (914) 533-7054  
Facsimile: (914) 533-7054  
Email: beresinlaw@optimum.net

*Attorney for Defendants The Estate of Stanley Merwin Berman a/k/a Stanley M. Berman, Joyce Berman individually and in her capacity as Executor of the Estate of Stanley Merwin Berman a/k/a Stanley M. Berman, S & J Partnership*

**Fox Rothschild LLP**

100 Park Avenue, Suite 1500  
New York, New York 10017  
Telephone: (212) 878-7900  
Facsimile: (212) 692-0940  
Ernest E. Badway  
Email: EBadway@foxrothschild.com  
Maura L. Burke  
Email: mburke@foxrothschild.com

*Attorneys for Defendant Jonathan Greenberg*

**Westerman Ball Ederer Miller & Sharfstein LLP**

1201 RXR Plaza  
Uniondale, NY 11556  
Telephone: (516) 622-9200  
Facsimile: (516) 622-9212  
Jeffrey A. Miller  
Email: jmill@westermanllp.com  
Richard Gabriele  
Email: rgabriele@westermanllp.com  
Andrew S. Lewner  
Email: alewner@westermanllp.com

*Attorneys for Defendant Morton Kurzrok*



**Law Office of Mark A. Blount, LLC**

444 East Main Street

2<sup>nd</sup> Floor

Chester, New Jersey 07930

Telephone: (908) 879-8225

Facsimile: (908) 879-8235

Mark A. Blount

Email: mblount@blountlawoffice.com

*Attorney for Defendants Alvin J. Delaire, Jr. and Carole Delaire*

**Katsky Korins LLP**

605 Third Avenue

New York, New York 10158-0038

Telephone: (212) 953-6000

Facsimile: (212) 953-6899

David L. Katsky

Email: dkatsky@katskykorins.com

Robert A. Abrams

Email: rabrams@katskykorins.com

Steve Newman

Email: snewman@katskykorins.com

*Attorneys for Defendants Richard Spring, The Spring Family Trust and Jeanne T. Spring Trust*

**Epstein Becker & Green, P.C.**

1227 25<sup>th</sup> Street, NW

Washington, DC 20037

Telephone: (202) 861-1875

Facsimile: (202) 861-3575

David B. Tatge

Email: dtatge@ebglaw.com

*Attorney for Defendants Richard Spring, The Spring Family Trust and Jeanne T. Spring Trust*

Jane M. Delaire A/K/A Jane Delaire Hackett  
Email: j.delaire@yahoo.com

*Appearing Pro Se*

**So Ordered This 29th Day of May, 2018**

**/s/ STUART M. BERNSTEIN**

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**HONORABLE STUART M. BERNSTEIN  
UNITED STATES BANKRUPTCY JUDGE**